

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tetsuya UTSUMI, et al.

Serial No.: 10/560,309

International (PCT)

Application No.: PCT/JP04/04132

International

Filing Date: March 24, 2004

ELECTROLUMINESCENCE DEVICE, MANUFACTURING METHOD  
THEREOF, AND LIQUID CRYSTAL DISPLAY DEVICE USING THE  
ELECTROLUMINESCENCE DEVICE

For:

CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Response to Notification of Missing Requirements (1 page, in duplicate);
2. Copy of Notification of Missing Requirements (2 pages);
3. Executed Declaration and Power of Attorney (6 pages);
4. Executed Assignment (2 pages);
5. Assignment Recordation Form Cover Sheet (1 page, in duplicate);
6. Return Receipt Postcard;

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: May 3, 2006

By:

  
Steven F. Meyer

Registration No. 35,613

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile

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For:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS dated April 12, 2006, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

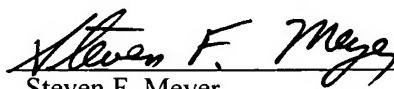
- Copy of Notification of Missing Requirements (2 pages);
- Executed Declaration and Power of Attorney (6 pages);
- Please charge the required fee of \$130.00 to deposit account no. 13-4500, Order No. 5000-5286.
- The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5286. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

05/15/2006 ATRAN1 00000180 134500 10560309  
01 FC:1617 130.00 DA

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: May 3, 2006

By:

  
Steven F. Meyer  
Reg. No. 35,613

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MORGAN & FINNEGAN, L.L.P.  
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New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile

PCT



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,309	Tetsuya Utsumi	5000-5286

INTERNATIONAL APPLICATION NO.

PCT/JPO4/04132

I.A. FILING DATE	PRIORITY DATE
03/24/2004	06/13/2003

27123  
 MORGAN & FINNEGAN, L.L.P.  
 3 WORLD FINANCIAL CENTER  
 NEW YORK, NY 10281-2101

Case 5000-5286 Atty. Sfm  
 Due Date 6-12-06  
 Statutory Date 1-1-12-06

By Am

Date Mailed: 04/12/2006

CONFIRMATION NO. 5049

## 371 FORMALITIES LETTER



\*OC000000018506824\*

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/12/2005
- English Translation of the IA filed on 12/12/2005
- Copy of the International Search Report filed on 12/12/2005
- Information Disclosure Statements filed on 12/12/2005
- Request for Immediate Examination filed on 12/12/2005
- U.S. Basic National Fees filed on 12/12/2005
- Priority Documents filed on 12/12/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

A F 04/19/06 PM12:13:

REVIEWED BY AUDIT JEP  
 DATE 2/26/06 BY [Signature]

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

ANITA D JOHNSON

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Telephone: (703) 308-9140 EXT 226

PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,309	PCT/JP04/04132	5000-5286

FORM PCT/DO/EO/905 (371 Formalities Notice)